

National Taipei University Implementation Regulations Governing Industry-  
Academia Collaboration

Passed in the 15th Meeting of the University Endowment  
Fund Management Committee on May 23, 2005

According to the official letter Tai-Gao-(3)-Zi No.  
0950114523 issued by the Ministry of Education on August  
7, 2006: Article 5 shall specify the management fee  
budgeted for overall planning and utilization by the  
University

Amended Article 5 and passed in the 20th Meeting of  
University Endowment Fund Management Committee on  
November 16, 2006

Approved by the official letter Tai-Gao-(3)-Zi No.  
0960017756 issued by the Ministry of Education on  
January 31, 2007

Revised the full text (14 articles) in the 42nd Meeting of  
the University Affairs Council on November 8, 2017  
(original name: Regulations for Industrial-Academic  
Cooperation of National Taipei University)

Amended and passed in the 2nd Meeting of the Extended  
Meeting of the 53rd Meeting of the University Affairs  
Council on December 14, 2022

Article 1

For the purposes of improving accumulation and dissemination of knowledge and  
delivering the functions of education, training, research/development and service in  
order to facilitate national education and economic development, National Taipei

University (hereinafter referred to as “NTPU”) stipulates the “Implementation Regulations Governing Industry-Academia Collaboration” (hereinafter referred to as “the Regulations”) in accordance with the Implementation Regulations Governing Industry-Academia Collaboration at Junior Colleges and Institutions of Higher Education of the Ministry of Education.

Unless otherwise stipulated by law, NTPU’s industry-academia collaboration matters shall be handled in accordance with the Regulations.

## Article 2

Industry-academia -collaboration in the Regulations means that NTPU, in order to achieve the target and functions stipulated in the preceding Article, cooperate with a government agency, institution, non-government organization or academic research institution (hereinafter referred to as “the Cooperation Unit”) to hold any of the following activities:

- I. Research and its application activities: Including project research, exchange of tangible matters, testing and inspection, technical service, consulting, patent application, technology transfer, innovation incubation, etc.
- II. Talent cultivation/education activities: Including education, cultivation, study, discussion, internship, training and other activities between students and personnel of the cooperation unit.
- III. Other utilization in relation to NTPU’s intellectual property rights.

The industry-academia collaboration activities stipulated in the preceding Paragraph, except for those under Subparagraph 2, shall be organized by Office of Research and Development of NTPU in cooperation with other relevant business units.

## Article 3

When there is an industry-academia collaboration project between a unit or an individual of NTPU and the Cooperation Unit, the PI shall fill out an application form of industry-academia collaboration and attach a proposal, a contract and relevant documents for approval. Only if such are approved by the dean of the affiliated unit and further approved by NTPU will the contract be signed by NTPU and the Cooperation Unit. It is prohibited from directly entering into any contract with the Cooperation Unit without going through NTPU's administrative proceedings. If a government agency stipulates other regulations on application and contract signing, such regulations shall apply.

One project shall be based on one contract. The President shall be NTPU's representative to sign the contract, while the PI shall co-sign the contract and jointly bear all liabilities related thereto.

#### Article 4

NTPU and the Cooperation Unit shall sign a written contract for industry-academia collaboration, in which the following items shall be specified:

- I. The subject matter and items to be delivered for industry-academia collaboration.
- II. Necessary funds, payment methods and resources which must be provided by the contracting parties.
- III. If the Cooperation Unit requires that NTPU warrant that there is no infringement upon others' rights in respect of the licensed technology or other items, it shall be specified that if such infringement occurs, the damages borne by NTPU shall be capped by the total amount of the funds actually delivered for the project.
- IV. The ownership of intellectual property rights or results from industry-academia collaboration shall belong to NTPU. The contract, however, may stipulate that such rights or results, in whole or in part, belong to the Cooperation Unit or are licensed to be used by the Cooperation Unit.

- V. If the Cooperation Unit needs to use the name or logo of NTPU or its department/institute/unit, the licensing methods and the way and scope of usage shall be specified.
- VI. The ownership of purchased houses and building shall be decided according to the contractual provisions. That of purchased books, journals, apparatuses and equipment, unless otherwise stipulated, shall become NTPU's assets to be collectively managed and utilized by NTPU
- VII. NTPU does not warrant that the licensed technology, research results or other items can be commercialized, nor is NTPU responsible for relevant product liabilities.
- VIII. Relevant personnel shall avoid any conflict of interest. If a time period for confidentiality obligations is specified in the contract, it shall be at most 2 years after the project is closed.
- IX. The number of copies of the contract to be held by the contracting parties.

#### Article 5

When NTPU handles industry-academia collaboration, it shall reasonably control the budget, handle it with existing resources and adhere to the principle of saving money. Industry-academia collaboration projects, depending on the status of using NTPU's resources, shall appropriate the administrative expense for NTPU's planning and utilization. Principles of appropriating the administrative expense:

- I. Cooperation projects with a government agency: 15% of the total amount of project funds shall be appropriated; if the government agency has other regulations for budgeting its management expense, such regulations shall apply.
- II. Cooperation projects with an institution, non-government organization and academic research institution, the following percentages shall apply according to the total amount of project funds:

- (I) Less than NT\$100,000: 10%
- (II) NT\$100,000 - Less than NT\$500,000: 15%
- (III) NT\$500,000 - Less than NT\$1,000,000: 12%
- (IV) NT\$1,000,000 - Less than NT\$10,000,000: 10%
- (V) NT\$10,000,000 and above: 8%
- (VI) If budgeting of the management expense is regulated by a central competent authority or the authorizing unit, it shall be handled according to the upper limit of such regulations.

## Article 6

Industry-academia collaboration funds are handled as follows:

- I. Unless otherwise stipulated, the Cooperation Unit which cooperates with NTPU shall appropriate funds at one time. The procedures for fund request will be handled by the responsible unit of NTPU.
- II. Funds shall be handled according to the procedures required by budgeting and collected and paid by NTPU.
- III. The payment and reimbursement of funds shall be handled in accordance with accounting procedures and relevant laws and regulations.
- IV. Funds shall be drawn up according to the contract or the approved project items. If any change is required, it shall be reported and approved according to NTPU's administrative procedures and approved by the Cooperation Unit. If the Cooperation Unit has its own regulations that funds may be freely changed and utilized within a certain scope, such regulations shall apply.
- V. All payment documents shall be collected by the PI or the responsible unit and shall be processed in accordance with accounting regulations after the project is closed.
- VI. During the term of a project, if there is any income from interest, penalties,

proceeds from sale of output, it shall be handled according to relevant regulations.

- VII. When a project is in progress, the Cooperation Unit needs to know the status of drawn-up of funds, it shall explain in detail and provide relevant data and reports.
- VIII. When an industry-academia collaboration project is handled by a unit, if a security deposit or disbursement is needed for executing the project, such unit may fill out “Application Form of Disbursement of Authorized/Subsidized Projects,” and the University Endowment Fund will provide disbursement. However, if the project is not closed promptly, resulting in the security deposit being confiscated or the funds for execution not appropriated, such disbursement shall be compensated by the PI.
- IX. In case a contract is terminated for any reason, if there are regulations for the balance to be processed, such regulations shall apply; if not, NTPU and the Cooperation Unit shall engage into negotiations. Before a decision is made through negotiations, unnecessary expense shall be suspended.
- X. Relevant heads and personnel for executing funds and utilizing and safekeeping assets shall bear relevant responsibilities for executing funds and safekeeping and utilizing assets.

#### Article 7

The balance of the industry-academia collaboration funds shall, in accordance with the ratios stipulated by the University Endowment Fund Management Committee of NTPU, be allocated to NTPU, project-executing units and business units responsible for industry-academia collaboration to be used in the following items:

- I. Expenses for purchasing research equipment, consumables and other matters needed in teaching and research.
- II. Expenses for engaging research fellows, assistants, scholarship-based students,

temporary workers or part-time personnel for the purpose of assisting in executing research projects.

- III. Expenses for inviting domestic and foreign scholars and experts to NTPU to give lectures, attend meetings, do cooperative research or supervise experiments for the needs of teaching and research.
- IV. Expenses for traveling or relevant matters in respect of applying for or accepting invitations to attending meetings, visiting, training or doing experiments abroad for the needs of teaching and research (must attach relevant invitation letters or proposals and submit result reports after such event).
- V. Expenses for grants and awards in accordance with relevant regulations governing academic grants and awards stipulated by Office of Research and Development.
- VI. Personnel expenses for manpower of projects.
- VII. Other expenses approved by projects.

#### Article 8

The qualification provisions for personnel who participate in industry-academia collaboration projects are as follows:

- I. The PI and the co-PI must be a full-time lecturer or above of NTPU or a research fellow of a similar rank and level.
- II. The Co-PI and the Co-research fellow are not limited to NTPU's personnel. The Cooperation Unit may also appoint a person to take the role, whose qualification is the same as the PI's.
- III. Engagement of assistants is handled in accordance with the "Notices of Engagement of Assistants of Research Projects Subsidized by the National Science and Technology Council" and other relevant regulations.

The rights and obligations of the personnel participating in industry-academia

collaboration projects shall be performed according to the contract.

#### Article 9

Remuneration for administrative assistant to personnel participating in research projects is handled in accordance with Table of remuneration standard for hired personnel of the University. If the government agency has other regulations for budgeting its management expense, such regulations shall apply.

#### Article 10

If industry-academia collaboration involves sensitive technology, according to the regulations governing safety control operation on sensitive technology research stipulated by the central competent authority of technology, a safety control mechanism shall be established, and non-disclosure agreements shall be signed.

#### Article 11

If industry-academia collaboration involves human dignity or ethical professionalism, the research criteria and control mechanism shall be handled in accordance with NTPU's Operation Regulations Governing Promotion of Research Projects to Apply for Research Ethics Review.

#### Article 12

The regulations governing personnel communication in industry-academia collaboration projects are as follows:

- I. People who participate in internship or training held by NTPU according to the Regulations are directed and reviewed by NTPU and may be granted a certificate.
- II. NTPU's personnel participating in industry-academia collaboration projects may



visit the Cooperation Unit on-site or use its facilities to do experiment or internship, which are directed and reviewed by the Cooperation Unit.

- III. Professionals from the Cooperation Unit, if possessing teaching qualifications and approved by both parties in the industry-academia collaboration, may do part-time teaching or direct internship at NTPU within the scope of the cooperation project according to NTPU's regulations and receive hourly fees according to the contract between both parties.

#### Article 13

Project close proceedings shall be applied for industry-academia collaboration projects according to the contract within the term thereof. Relevant results and intellectual property rights that belong to NTPU shall be handled in accordance with NTPU's regulations on management of research results, patent and technology transfer.

#### Article 14

The Regulations are to be implemented after amended by the University Endowment Fund Management Committee and the University Affairs Council. The same rule applies to the revision hereof.